

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In repatent application of

Applicant:

David M. Bargeron, et al.

: MS306435.1/MSFTP504US

Serial No.: Filed:

10/758,370

For

January 15, 2004

Art Unit:

IMAGE-BASED DOCUMENT INDEXING AND RETRIEVAL Unknown

Examiner: Unknown

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Alexandria, Virginia 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120; or (c) U.S. Patent(s) and published applications(s) since the subject application was filed after June 30, 2003.

Serial No.:	
Filing Date:	

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

- 2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):
 - (a) ___Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
 - (b) Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
 - 3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
 - (a) Within 3 months of the filing date or date of entry into the National Stage.
 - (b) X Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement.

(c)	After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
(1)	The required contification is given below or

1) ____ The required certification is given below, or

- (2) ___ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
- (3) __ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063.
- (d) ___After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee.

 Petition hereby is made for consideration of this Statement and the required certification is indicated below.
 - (1) ___ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or
 - (2) __ Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 50-1063.

4. Certification (if applicable)

- (a) ____ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- (b) __The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.
- The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1063.

Respectfully Submitted.

Jeffrey R. Sadlowski Reg. No. 47,914

24TH Floor, National City Center 1900 East 9th Street Cleveland, Ohio 44114 (216) 696-8730

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Alexandria, Virginia 22313-1450.

Date: 5-11-2004

Jeffrey R. Sadlowski

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Sheet 1

PTO/SB/08A (08-03) Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademerk Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of 2

(Use as many sheets as necessary)

perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number of Complete if Known Application Number 10/758,370 Filing Date 01/15/04 First Named Inventor David M. Bargeron Art Unit Unknown Examiner Name Unknown Attorney Docket Number MS306435 1/MSFTP504US

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 of Incomp}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		US- a nom out			- I gares / ppeur
		^{US-} 6,587,217	07-01-2003	Lahey, et al.	
		^{US-} 6,546,385	04-08-2003	Mao, et al.	
		US- 5,109,433	04-28-1992	Notenboom	
		^{US-} 4,955,066	09-04-1990	Notenboom	
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication		Pages, Columns, Lines, Where Relevent Pesseges Or Relevent Figures Appear		
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Examiner Date Considered 04/10/2008 /Giovanna Colan/ *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and n

EXAMINE: mail a limetric doministic, whether or not callon is in commance with MMEP 609. Draw line through distoin in conformance and UNEY 609. Draw line through distoin in conformance and UNEY 609. The limetric conformation of the limetric conform 2 See Kinds Codes of C Standard ST.3). 4 For Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain e benefit by the public which is to file (and by the USPTO to process) en application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gethering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the emount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Pstents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (08-03)
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				Application Number	10/758,370	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Filing Date	01/15/04	
			PPLICANT	First Named Inventor	David M. Bargeron	
			cossand	Art Unit	Unknown	
				Examiner Name	Unknown	
Sheet	2	of	2	Attorney Docket Number	MS306435.1/MSFTP504US	

		NON PATENT LITERATURE DOCUMENTS					
Examiner Oile Initials* No.¹ Initials* No.¹ Initials* No.¹ Initials* No.¹ Initials* Initia		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
		DAVID DOERMANN, The Indexing and Retrieval of Document Images: A Survey, Computer Vision and Image Understanding: CVIU, 1998, pp.1-37.					
		DAVID DOERMANN, et al., The Detection of Duplicates in Document Image Databases, Proceedings of the International Conference on Document Analysis and Recognition, 1997, pp.1- 37.					
		JONATHAN J. HULL, et al., Document Image Similarity and Equivalence Detection, ICDAR'97, 1997, pp.308-312, Vol. 1, Ulm, Germany.					
		JOHN F. CULLEN, et al., Document Image Database Retrieval and Browsing using Texture Analysis, ICDAR'97, 1997, pp. 718-721, Ulm, Germany.					
		NEVIN HEINTZE, Scalable Document Fingerprinting(Extended Abstract), Proceedings of the Second USENIX Workshop on Electronic Commerce, 1996, pp. 1-10.					
		NARAYANAN SHIVAKUMAR, et al., The SCAM Approach to Copy Detection in Digital Libraries, D-Lib Magazine, 1995, 9 pages.					
		SERGEY BRIN, et al., Copy Detection Mechanisms for Digital Documents, Proceedings of the ACM SIGMOD Annual Conference, 1995, pp. 1-21.					
		*					

Examiner Signature	/Giovanna Colan/	Date Considered	04/10/2008

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Drew line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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In the confidence of the